



**Bond Supplemental Questionnaire**

Brokerage/Agency Name:

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1. Identify premium volume and number of bond accounts:

<u>Type of Bonds</u>	<u>Premium Volume</u>	<u>Number of Accounts</u>
Contract	_____	_____
License and Permit	_____	_____
Public Official	_____	_____
Judicial	_____	_____
Federal	_____	_____
Fidelity	_____	_____
Financial Institution	_____	_____
Other ( <i>Specify</i> ):	_____	_____
_____	_____	_____

2. Please provide the following information for the top 3 carriers used for bond business:

<u>Carrier</u>	<u>Direct Access?</u>	<u>Premium Volume</u>	<u>Years Represented</u>
_____	<input type="checkbox"/> Yes <input type="checkbox"/> No	_____	_____
_____	<input type="checkbox"/> Yes <input type="checkbox"/> No	_____	_____
_____	<input type="checkbox"/> Yes <input type="checkbox"/> No	_____	_____

3. Does the brokerage/agency accept brokered bond business? .....  Yes  No
4. Does the brokerage/agency have any pre-executed bonds in the office? .....  Yes  No
5. a. Does the brokerage/agency have a procedure used to monitor and control the use of powers of attorney and corporate seals provided by carriers? .....  Yes  No
- b. Are powers of attorney and corporate seals locked and separated? .....  Yes  No
- c. Are powers of attorney numbered, logged and tracked? .....  Yes  No
6. Does the brokerage/agency have a system that provides non-resident provincial requirements? .....  Yes  No  
**If Yes**, how often are requirements updated?  Monthly  Quarterly  Yearly



7. Does the brokerage/agency have written authority to sign consents of surety for:  
 a. increase in bond amounts? .....  Yes  No  
 b. release of retainage? .....  Yes  No

8. Has the bond carrier provided the brokerage/agency a line of credit for any client? .....  Yes  No  
**If Yes**, does the brokerage/agency have written documentation of the line? .....  Yes  No

9. Does the brokerage/agency have joint control capacity on any bonds written? .....  Yes  No

10. Is the brokerage/agency involved in any current or past guarantees on loans to surety clients? .....  Yes  No

11. Is the agent on the Board of Directors or does agent have affiliation with clients other than acting in the capacity of an insurance agent? .....  Yes  No

12. Has any agent within the brokerage/agency, or the brokerage/agency itself ever had their power of attorney revoked, suspended or declined? .....  Yes  No

13. List the brokerage/agency staff who handle bond accounts along with experience.

Name	Yrs. of Bond Experience	Position In Brokerage/Agency	Power of Attorney?
	<input type="checkbox"/> 0-5 <input type="checkbox"/> 5-10 <input type="checkbox"/> 10+	<input type="checkbox"/> Owner <input type="checkbox"/> Producer <input type="checkbox"/> CSR / Support	<input type="checkbox"/> Yes <input type="checkbox"/> No
	<input type="checkbox"/> 0-5 <input type="checkbox"/> 5-10 <input type="checkbox"/> 10+	<input type="checkbox"/> Owner <input type="checkbox"/> Producer <input type="checkbox"/> CSR / Support	<input type="checkbox"/> Yes <input type="checkbox"/> No
	<input type="checkbox"/> 0-5 <input type="checkbox"/> 5-10 <input type="checkbox"/> 10+	<input type="checkbox"/> Owner <input type="checkbox"/> Producer <input type="checkbox"/> CSR / Support	<input type="checkbox"/> Yes <input type="checkbox"/> No
	<input type="checkbox"/> 0-5 <input type="checkbox"/> 5-10 <input type="checkbox"/> 10+	<input type="checkbox"/> Owner <input type="checkbox"/> Producer <input type="checkbox"/> CSR / Support	<input type="checkbox"/> Yes <input type="checkbox"/> No
	<input type="checkbox"/> 0-5 <input type="checkbox"/> 5-10 <input type="checkbox"/> 10+	<input type="checkbox"/> Owner <input type="checkbox"/> Producer <input type="checkbox"/> CSR / Support	<input type="checkbox"/> Yes <input type="checkbox"/> No

Signature: \_\_\_\_\_ Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

Name: \_\_\_\_\_ Title: \_\_\_\_\_  
 (Please Print)